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| DrPH | Doctor of Public Health |
|-------|-------------------------|
| MPhil | Master of Philosophy |
| PhD | |

time-period, the student will be de-registered unless an extension has been granted (see <u>Research Degrees Extensions Policy</u>).

9.1.7 After examination of the thesis, if amendments are required, the maximum period permitted for resubmission will be set. After the prescribed time-period, the student will be de-registered unless an extension has been granted (see <u>Research Degrees Extensions Policy</u>).

| Doctor of Philosophy (via transfer from Master of Philosophy, including the period of MPhil registration) | PhD | 24 months full-time 36 months part-time | 36 months full-time 72 months part-time | 48 months full-time 96 months part-time | 100,000 |
|-----------------------------------------------------------------------------------------------------------------------|-------|--------------------------------------------------|--------------------------------------------------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Doctor of Philosophy by Publication | PhD | 6 months part-time | 12 months part-time | 18 months part-time | 100,000 words in total, including: 15,000 for analytic commentary; prior publications; and any accompanying documents |
| Professional Doctorate: Doctor of Public Health | DrPH | 36 months full-time 48 months part-time | Not specified | 48 months full-time 96 months part-time | 15,000 (RSI) and 60,000 (RSII) |
| Master of Philosophy | MPhil | 24 months fulltime 36 months part-time | 24 months full-time 72 months part-time | 48 months full-time 96 months part-time | 60,000 |

(a) Students who first registered for their current research degree before the commencement of the 2012-13 academic year will not be subject to the maximum period of registration.

(b) The reference list is excluded from the word count. Footnotes are included in the word count. Appendices are excluded from the word count and should only include material, which the Examiners are not required to read in order to examine the thesis, but to which they may refer if they wish.

- 9.2.1 The normal minimum entrance qualification for registration can be found in the <u>Postgraduate Research Degrees Admissions Policy</u>.
- 9.2.2 In some instances, students may be required to register for a related Master of Science (MSc) programme at LSHTM before being allowed to

the documentary evidence of their qualifications. However, if the names shown on the documentary evidence of qualifications are in an abbreviated form or incomplete form, or if the names have subsequently been changed, in order to establish their identity, the applicant must produce for inspection one of the following documents: passport, birth certificate, marriage certificate, certificate from the awarding body, statutory declaration or a deed poll and, provided that the document produced establishes beyond doubt that the names refer to the person named on the documentary evidence of qualifications and that the person is the student, the student will be registered in the names shown on the document produced in order to establish identity. Subsequent to registration, a change of name will only be made after inspection of a marriage certificate, statutory declaration or deed poll.

9.2.6 Any exemption from the minimum entrance requirement stated in the <u>Postgraduate Research Degrees Admissions Policy</u> must be agreed by the relevant Faculty Research Degree Director and the Head of the Doctoral

- 9.3.2.2.2 Transfer from MPhil to PhD, through a formal review process known as upgrading, will be permitted only after the research study has been assessed to be of PhD standard and the student has been assessed as developing satisfactorily towards PhD standard in the context of the time remaining until the maximum period of registration.
- 9.3.2.2.3 All students are entitled to two attempts at upgrading.
- 9.3.2.2.4 The first attempt to upgrade should be undertaken within the first 7 to 11 months of full-time study or the first 22 months of part-time study.
- 9.3.2.2.4 Students who have not successfully completed all requirements for upgrade from MPhil to PhD registration within 18 months of full-time registration (or 36 months of part-time registration) will not be permitted further attempts at upgrading, unless an extension has been granted or an appeal is upheld (see the <u>Research Degrees Extension Policy</u> and section 7.7 of <u>Chapter 7, General Academic Regulations of the LSHTM</u> <u>Academic Manual</u>).
- 9.3.2.2.5 On transfer of registration, the registration for the original degree will lapse.
- 9.3.3.1 Except insofar as the following paragraphs make special provision for a student registered under a special scheme, the student will be required to comply with the Regulations for the Degrees of MPhil, PhD and DrPH as applicable.

9.3.3.2.1 A person engaged in research in a government or other public research institution or in an industrial research laboratory, shall be eligible to apply for part-time registration as an internal student at LSHTM for the degree of MPhil, PhD or DrPH. If accepted, they will carry out the major part or

- 9.3.3.4.3 A panel will be established to review the application and make an academic judgement of the materials submitted by the applicant in respect of the case for developing a PhD by Prior Publication portfolio within the permitted period of registration.
- 9.3.3.4.4 The panel will include one of the three Faculty Research Degree Directors or the Head of Doctoral College, and two independent academic members of staff with relevant expertise, one of whom should be from outside the applicant's department. The applicant will give a seminar, followed by a panel interview (analogous to an MPhil/PhD upgrading) (analogous to an

- 9.4.1.7 Irrespective of the time spent at LSHTM in London, all students registered at LSHTM are legally required to complete an in-person identity check when they first register.
- 9.4.1.8 A student is expected to centre their academic activities on LSHTM and to attend personally for their studies at such times as Supervisors may require. For further information on attendance requirements for research degree students, please see the <u>Student Engagement Policy</u>.
- 9.4.1.9 LSHTM may permit a student to spend part of their programme in offcampus study, for example to carry out fieldwork or a placement at a partner organisation, which shall include regular communication with their supervisor. All off-campus study must be approved in accordance with the

- 9.5.2 The work submitted in the thesis by the student must be their own work and any quotation from the published or unpublished works of other persons must be duly acknowledged. Failure to observe this provision will constitute an examination offence and fall to be considered under the Assessment Irregularities Procedure in section 7.2 of <u>Chapter 7 of the</u> <u>LSHTM Academic Manual</u>. Allegations of plagiarism, fraud or ethical irregularity during a programme of study will be considered under this procedure.
- 9.5.3 Students and Supervisors must adhere to the LSHTM <u>Intellectual Property</u> <u>Policy</u>. They must ensure that they implement and adhere to this policy throughout their research and in any interactions, whether in person or through electronic media, with parties external to LSHTM.
- 9.5.4 All Supervisors and students are required to consult the guidance on <u>ethics approvals for research degrees</u>. If students and Supervisors are unclear about what approvals are needed, they should consult the Research Governance and Integrity Office. If scrutiny from the LSHTM Research Ethics Committee is required, the student must submit a research ethics application and obtain Ethics Committee Approval before proceeding with data collection or data analysis. Students are responsible for applying for and obtaining ethical approval prior to recruiting participants and collecting data for their research.

9.6.1.1 The greater proportion of the work submitted in a thesis or portfolio must have been done after the initial registration for a research degree, except in the following cases:

> A student accepted under paragraph 9.1.5, where there shall be allowance for the fact that the student commenced their registration at another institution.

A student accepted to the PhD by Publication (paragraph 9.3.3.4)

9.6.1.2 A student will not be permitted to submit as their thesis or portfolio one which has been submitted for a degree or comparable award of this or any other university or institution. A student shall not be precluded from incorporating into a thesis or portfolio, background material covering a

- (a) Consist of a student's own account of their investigations.
- (b) Be a record of original work or an ordered and critical exposition of existing knowledge in any field. There should be evidence that the field has been surveyed thoroughly.
- (c) Be an integrated whole and present a coherent argument.

[Relevant work by the student prepared for publication or already published may be included together with linking material. Linking material must be solely the work of the student and should include elements undertaken as part of the thesis research but which are not normally published (for example, description of lab-assay work-up, formative work to design data collection instruments). A general literature review and a concluding summary would normally be expected. Each element of work prepared for publication or already published which is included in the thesis must be accompanied by a statement, certified by the Supervisor, to indicate the role of the student in the work and the contributions of others. Work for which there are multiple authors, including that for which the student is not first author, is permitted in specific circumstances but the student must state the aspects of the work for which they had lead responsibility].

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- (b) Form a distinct contribution to the knowledge of the subject and afford evidence of originality shown by the discovery of new facts and/or the exercise of independent critical power.
- (c) Be an integrated whole and present a coherent argument.

- (a) Consist of the student's own account of their investigations and indicate how they advance the study/knowledge of the subject.
- (b) Form a distinct contribution to the knowledge of the subject and afford evidence of originality shown by the discovery of new facts and/or the exercise of independent critical power.
- (c) Be an integrated whole and present a coherent argument.

[Relevant work by the student prepared for publication or already published may be included together with linking material. Linking material must be solely the work of the student and should include elements undertaken as part of the thesis research but which are not normally published (for example, description of lab-assay work-up, formative work to design data collection instruments). A general literature review and a concluding summary would normally be expected. Each element of work prepared for publication or already published which is included in the thesis must be accompanied by a statement, certified by the Supervisor, to indicate the role of the student in the work and the contributions of others. Work for which there are multiple authors, including that for which the student is not first author, is permitted in specific circumstances but the student must state the aspects of the work for which they had lead responsibility.

- (d) Give a critical assessment of the relevant literature, describe the method of research and its findings, and include a discussion on those findings, and indicate in what respects they appear to the student to advance the study/knowledge of the subject.
- (e) Be written in English with satisfactory literary presentation.
- (f) Include a full reference list.
- (g) Demonstrate the student meets the threshold standards for the award of a doctoral degree in the UK (See <u>Frameworks for Higher Education</u> <u>Qualifications of UK Degree-awarding Bodies</u> (FHEQ) and Office for Students (OfS) Sector Recognised Standards.
- 9.6.5.1 A PhD by Publication is a portfolio that should include three elements:
 - (a) A 15,000 words (maximum) analytic commentary outlining:

the overarching objective(s) of the research presented in publications contained in the portfolio

a coherent argument linking these publications

the original contribution to knowledge that the publications have made in a defined area of research, with reference to existing literature

- (b) A minimum of four interconnected, peer-reviewed publications written in English. Papers should be in the public domain and traceable in bibliographic or other public databases. For multi-authored publications, the student is expected to be the first author or to clearly define the importance of their academic contribution.
- (c) A statement describing the student's contribution to each publication and underlying research. This statement should be signed by the student and counter-signed by the lead co-author and/or Principal Investigator.
- 9.6.5.2 Students will not be permitted to submit Prior Publication for examination for the award of MPhil.
- 9.7.1 A student shall be examined in accordance with the regulations in force at the time of their entry or re-entry.
- 9.7.2 The examination entry form may not be submitted earlier than six months before the completion of the prescribed programme of study and should not be submitted later than three months before the submission of the thesis/portfolio.
- 9.7.3 A student is required to submit a short description of the content of the thesis/portfolio with their examination entry form to assist in the appointment of suitable Examiners.
- 9.7.4 If the student has not submitted their thesis/portfolio for examination within 18 months of the submission of the examination entry form, the entry will be cancelled unless LSHTM requests otherwise.

- 9.7.5 A student will be required to submit a digital copy of their thesis for examination in accordance with the instructions in the <u>Research Degrees</u> <u>Handbook</u>.
- 9.8.1 It is a requirement that a digital copy of the successful thesis/portfolio is deposited in the LSHTM research repository <u>LSHTM Research Online</u>.
- 9.8.2 Subject to paragraph 9.8.3 below, students for the MPhil, PhD and DrPH degrees will be required to sign a declaration form authorising the reproduction of their thesis at the time of entry to the examination.
- 9.8.3 A student may apply for restriction of access to their thesis/portfolio, abstract or discrete sections of the thesis/portfolio on the grounds of commercial exploitation or patenting or in other necessary circumstances for a period not normally exceeding two years. Applications for restriction should be made in accordance with the <u>Electronic Doctoral Degree Thesis</u> <u>Submission Policy</u>.

- 9.9.1.1 Examiners will be appointed by LSHTM for each student in accordance with the <u>Research Degrees Code of Practice</u> for the Degrees of MPhil, PhD and DrPH.
- 9.9.1.2 All matters relating to the examination must be treated as confidential. Examiners are not permitted to divulge the content of previously unpublished material contained in a student's thesis until any restrictions on access to the thesis, which have been granted by LSHTM, are removed.
- 9.9.1.3 Prior to the oral examination, the Examiners shall prepare independent preliminary written reports on the thesis to assist in conducting the oral examination. Copies of the preliminary reports should be submitted to

9.9.2.1 A student for the PhD degree must submit a thesis and be examined orally.

- 9.9.3.1 The Examiners, after reading the thesis, shall examine the student orally on the subject of the thesis and, if they see fit, on subjects relevant thereto.
- 9.9.3.2 There are seven options open to PhD Examiners in determining the result of the examination:
 - (a) If the thesis fulfils the criteria set out in Paragraphs <u>9.6.1</u> and <u>9.6.3</u> and the student satisfies the Examiners in all other parts of the examination, the Examiners will report that the student has satisfied them in the examination for the PhD degree.
 - (b) If the thesis otherwise fulfils the criteria but requires minor amendments and if the student satisfies the Examiners in all other parts of the examination, the Examiners may require the student to make within three months amendments specified by them. The amended thesis shall be submitted to the Examiners or one of their number nominated by them for confirmation that the amendments are satisfactory.
 - (c) If the thesis otherwise fulfils the criteria but requires major amendments and if the student satisfies the Examiners in all other parts of the examination, the Examiners may require the student to make within six months amendments specified by them. The amended thesis shall be submitted to the Examiners or one of their number nominated by them for confirmation that the amendments are satisfactory.
 - (d) If the thesis, though inadequate, shall seem of sufficient merit to justify such action, the Examiners may determine that the student be permitted to re-present their thesis in a revised form within 18 months. Examiners shall not make such a decision without submitting the student to an oral examination. The Examiners may at their discretion exempt from a further oral examination, on re-presentation

of their thesis, a student who under this regulation has been permitted to re-present it in a revised form.

- (e) If the thesis satisfies the criteria for the degree but the student fails to satisfy the Examiners at the oral examination, the Examiners may determine that the student be permitted to submit to a further oral examination within a period specified by them and not exceeding 18 months.
- (f) If, after completion of the examination including the oral examination or re-examination for the PhD degree, the Examiners determine that a student has not reached the standard required for the award of the degree nor for the re-presentation of the thesis in a revised form for that degree, they shall consider whether the thesis does or might be able to satisfy the criteria for the award of the MPhil degree. If they so decide, the Examiners shall submit a report which demonstrates either (a) how the criteria for the MPhil degree are satisfied, or (b) what action would need to be taken in order for these criteria to be satisfied. In reporting, they shall have regard to the different normal maximum lengths of the thesis for the PhD and MPhil degrees but shall have discretion to waive the thesis length for the MPhil degree if appropriate. Thereafter, the following conditions and procedures apply:
- (g) The student will be informed that they have been unsuccessful at the examinations for the PhD degree, but that their Examiners have indicated that they have reached a standard required for the award of the MPhil degree or with amendment to their thesis they may be able to satisfy the criteria for the degree, and that they may be considered for the award of the MPhil degree if the student indicates within two months that they wish to be so considered.
 - i. A student who indicates that they wish to be considered for the award of the MPhil degree under this Regulation will not be required to submit the thesis reformatted and shortened as may be required under the Regulations for the MPhil degree or to undergo an oral examination, but will be required to fulfil the requirements for the MPhil examination in all other respects.
 - A student who applies for the award of the MPhil degree under these regulations must make any amendments that may be required by the Examiners within a period specified by them, but not exceeding twelve months. If amendments are required the amended thesis shall be submitted to the Examiners for

determination as to whether the amendments have been completed to their satisfaction.

iii. A student who has reached the standard for the award of the MPhil degree or with amendment to their thesis could reach the requisite standard who does not indicate that they wish to be considered for the award of that degree within the period given in paragraph (i) above will be informed that they w.tnS Tm0 g0 G[(consid)-2(er

- 9.9.3.5.3 There are five options available to Examiners of the PhD by Publication:
 - (a) Pass
 - (b) Pass subject to minor amendments to the portfolio within three months
 - (c) Pass subject to major amendments to the portfolio within six months
 - (d) Not passed, the portfolio is satisfactory, but the oral defence was not; the student is permitted to participate in one further viva voce examination within six months
 - (e) Fail
- 9.9.3.5.4 If the Examiners are unable to reach agreement, their reports shall be referred to the Head of Doctoral College, who shall determine the action to be taken.
- 9.9.3.5.5 A student who fails to satisfy the Examiners will not be permitted to reenter for the examination through the PhD by Prior Publication route.
- 9.9.4.1 A student for the MPhil degree, must submit a thesis and be examined orally.
- 9.9.5.1 Students are required to present themselves for oral examinations at such place and times as LSHTM may direct and to bring with them to the oral examination an additional copy of their thesis, paginated in the same way as the copies submitted to the LSHTM Registry.
- 9.9.5.2 The Examiners, after reading the thesis, shall examine the student orally on the subject of the thesis and, if they see fit, on subjects relevant thereto.
- 9.9.5.3 There are five options open to Examiners in determining the result of the examination as follows:

(a) If the thesis fulfils the criteria (see Paragraphs

- (c) If the thesis otherwise fulfils the criteria but requires major amendments and if the student satisfies the Examiners in all other parts of the examination, the Examiners may require the student to make within six months amendments specified by them. The amended thesis shall be submitted to the Examiners or one of their number nominated by them for confirmation that the amendments are satisfactory.
- (d) If the thesis, though inadequate, shall seem of sufficient merit to justify such action, the Examiners may determine that the student be permitted to re-present their thesis in a revised form within 18 months. Examiners shall not make such a decision without submitting the student to an oral examination. The Examiners may at their discretion exempt from a further oral examination, on re-presentation of their thesis, a student who under this regulation has been permitted to re-present it in a revised form.
- (e) If the thesis satisfies the criteria for the degree, but the student fails to satisfy the Examiners at the oral examination, the Examiners may determine that the student be permitted to submit to a further oral examination within a period specified by them and not exceeding 18 months.
- (f) The Examiners may determine that the student has not satisfied them in the examination. The Examiners shall not, however, save in very exceptional circumstances, make such a decision without submitting the student to an oral examination. Following resubmission, the Examiners may determine that the student has not satisfied them in the examination and will not be permitted to re-enter for the examination of the DrPH degree.
- 9.9.7.4 If the Examiners are unable to reach agreement, their reports shall be referred to the Head of Doctoral College, who shall determine the action to be taken.
- 9.9.7.5 A student who fails to satisfy the Examiners will not be permitted to reenter for the examination, but they may submit an application for a new period of study leading to the submission of a thesis on a different topic.