Report to Audit Committee 04 June 2013 Page 1 of 2 Research Governance
Audit Committee Agenda 4 June 2013

RESEARCH GOVERNANCE AND SCIENTIFIC MISCONDUCT

Note by Quality & Governance Manager

This paper reports an annual summary of issues concerning research governance and scientific
misconduct at the School. The Audit Committee is asked to note the report.

1. Research Councils UK (RCUK) require organisations in receipt of funding to annually
report to respective Audit Committees (or other appropriate fora) on matters concerning
research governance and scientific misconduct including confirming the existence of
appropriate policies and procedures, their review (if relevant) and any issues which have
arisen (in particular concerning scientific misconduct). This report is in accordance with
RCUK reporting requirements.

2. A routine, regulatory inspection by the MHRA was conducted on 19-21 March 2013.
Appendix 1 contains the provisional findings discussed at the closing meeting. The MHRA
will provide a formal report in due course, after which a corrective and preventive action
(CAPA) plan will be produced by the Quality and Governance Manager.

3. Planned activity for the forthcoming year, include:
a. completion of revising procedures for investigating allegations of scientific
misconduct (concerning staff);
b. revision of student disciplinary procedures (includes scientific misconduct);
c. update Good Research Practice guidelines
d. revising guidelines on working with the private sector;
e. revising intellectual property policy,
f. revising policy for handling potentially controversial results
g. revising policy on contact with the tobacco industry
h. update policy on use of audio and video in research
i. developing guidance on conflicts of interest
j. developing guidance on peer review
k. developing guidance on research with children
l. updating guidance on informed consent for all research
m. developing guidance on clinical trials in India
n. developing guidance on risk assessments in research

4. There have been no formal allegations or proven incidents of scientific misconduct at the
School during the last year.

5. The Audit Committee is asked to NOTE the foregoing report.

Patricia Henley
23 May 2013

Report to Audit Committee 04 June 2013 Page 2 of 2 Research Governance

Appendix 1: (Provisional) Findings from the MHRA Inspection

< The MHRA do not release this information into the public domain due to its potentially
commercially sensitive nature>